

JCO3 Rec'd PCT/PTO 23 MAR 2005

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 21413 US C038435/0185654

| COI | NCERNING A SUBMISSION | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) | | | | | | |
|----------|---|---|---|--|--|--|--|--|
| | ATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | |
| | PCT/EP2003/010296 | 16 September 2003 | 27 September 2002 | | | | | |
| PROC | PROCESS FOR PRODUCING VITAMIN B6 | | | | | | | |
| | APPLICANT(S) FOR DO/EO/US Tatsuo HOSHINO, Yoichiro NAGATANI, Keiko ICHIKAWA, and Masaaki TAZOE | | | | | | | |
| Applican | Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | |
| 1. 🗵 | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | |
| 2. | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | |
| 3. | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | |
| 4. 🗙 | The US has been elected (Article 31). | | | | | | | |
| 5. 🗵 | A copy of the International Applicatio | n as filed (35 U.S.C. 371(c)(2)) | | | | | | |
| | a. is attached hereto (required | d only if not communicated by the Internatio | nal Bureau). | | | | | |
| | b. X has been communicated by | the International Bureau. | | | | | | |
| | c. is not required, as the appli | ication was filed in the United States Receiv | ring Office (RO/US). | | | | | |
| 6. | An English language translation of th | e International Application as filed (35 U.S. | C. 371(c)(2)). | | | | | |
| | a. is attached hereto. | | | | | | | |
| | b. has been previously submi | itted under 35 U.S.C. 154(d)(4). | | | | | | |
| 7. X | Amendments to the claims of the Inte | ernational Application under PCT Article 19 | (35 U.S.C. 371(c)(3)) | | | | | |
| | a. are attached hereto (requi | ired only if not communicated by the Interna | ational Bureau). | | | | | |
| | b. have been communicated | by the International Bureau. | | | | | | |
| ٠ | _ | ever, the time limit for making such amendr | ments has NOT expired. | | | | | |
| | d. X have not been made and | will not be made. | | | | | | |
| 8. | An English language translation of the | ne amendments to the claims under PCT Ar | rticle 19 (35 U.S.C. 371(c)(3)). | | | | | |
| 9 | An oath or declaration of the inventor | (s) (35 U.S.C. 371(c)(4)). | | | | | | |
| 10. | An English language translation of th Article 36 (35 U.S.C. 371(c)(5)). | e annexes of the International Preliminary E | Examination Report under PCT | | | | | |
| | s 11 to 20 below concern document(s | s) or information included: | | | | | | |
| 11. 🛚 | An Information Disclosure Statement | under 37 CFR 1.97 and 1.98. | | | | | | |
| 12. | An assignment document for recording | ng. A separate cover sheet in compliance w | ith 37 CFR 3.28 and 3.31 is included. | | | | | |
| 13. | A preliminary amendment. | | | | | | | |
| 14. | An Application Data Sheet under 37 (| CFR 1.76. | | | | | | |
| 15. 🗀 | A substitute specification. | | | | | | | |
| 16. | A power of attorney and/or change of | faddress letter. | | | | | | |
| 17. 🗵 | A computer-readable form of the sequence | uence listing in accordance with PCT Rule | 13 <i>ter</i> .2 and 37 CFR 1.821- 1.825. | | | | | |
| 18. | A second copy of the published Intern | national Application under 35 U.S.C. 154(d) | (4). | | | | | |
| 19. | A second copy of the English language | ge translation of the international application | n under 35 U.S.C. 154(d)(4). | | | | | |
| 20. 🔀 | Other items or information: Certificate | of Express Mailing, Return Postcard | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2



PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ICATION NO. (if known, see 37 CEP 1.5)

INTERNATIONAL ACCURATIONAL ACCURATIO

| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. | | | ATTORNEY'S DOCKET NUMBER | | | | | |
|---|--|----------------|---|-----------|-----------------|------------|--------------|-----------------|
| | 10/528 | 892 | PCT/EP200 | 3/01 | 0296 | 21 | 413 US C0384 | 435/0185654 |
| 21. The following fees are submitted: | | | | | | _ | plicant use | Office use only |
| X a) Basic national fee\$300.00 | | | | | . \$300.00 | \$ | 300.00 | |
| ★ b) Examination fee | | | | | | \$ | 200.00 | |
| 区 c) Search fee | | | | | | \$ | 500.00 | |
| | TOTAL OF ABOVE | | | | \$1000.00 | \$ | 1,000.00 | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | |
| Total Sheets | Extra sheets | | Number of each additional 50 or fraction thereof (round up to a whole number) | | | | | |
| 12 - 100 = | 0 /50 | = | 0 | | x \$250.00 | \$ | 0.00 | |
| | 30.00 for furnishing thate (37 CFR 1.492(e | | aration later than 30 months | from the | e earliest | \$ | | • |
| CLAIMS | NUMBER | RFILED | NUMBER EXTRA | | RATE | \$ | | |
| Total claims | 7 | - 20 = | 0 | × | \$50.00 | \$ | 0.00 | |
| Independent clai | ms 1 | - 3 = | 0 | × | \$200.00 | \$ | 0.00 | |
| MULTIPLE DEPI | ENDENT CLAIM(S) (| if applicable) | | + | \$360.00 | \$ | 0.00 | |
| | | | TOTAL OF ABOVE | | | \$ | 1,000.00 | |
| Applicant c by ½. | laims small entity sta | tus. See 37 CI | FR 1.27. The fees indicated a | bove a | re reduced | \$ | 0.00 | |
| | | | | | SUBTOTAL = | \$ | 1,000.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | | \$ | 0.00 | |
| TOTAL NATIONAL FEE = | | | | | \$ | 1,000.00 | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | | | | |
| TOTAL FEES ENCLOSED = | | | | | \$ | 1,000.00 | | |
| Amount to be refunded: | | | | | | | | \$ |
| Amount to be charged | | | | | | | | \$ |
| a. X A chec | k in the amount of \$ | 1,000 | 0.00 to cover the ab | ove fee | s is enclosed. | | | |
| b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4467. A duplicate copy of this sheet is enclosed. | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | on should not |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | |
| SEND ALL COR | RESPONDENCE TO |): | | | | | | |
| Stephen M. Haracz, Esq | | | | | | Quidian | | |
| BRYAN CAVE LLP SIGNATURE | | | <u>~</u> | | | | | |
| 1290 Avenue of the Americas | | | | <u>Un</u> | arles Avigliano | | | |
| New York, New York 10104 Phone: (212) 541 2000 | | | | | | 52,578 | | |
| Phone: (212) 541-2000 Fax: (212) 541-4630 REGISTRATI | | | | ON NUMBER | | | | |
| Fax: (212) 541-4630 REGISTRATION | | | | | | AT ITOMOEN | | |

JC14 Rec'd PCT/PTO 23 MAR 2005

Docket No.: 21413 US C038435/0185654

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ACTING AS DESIGNATED/ELECTED OFFICE (DO/EO/US) UNDER THE PATENT COOPERATION TREATY CONCERNING A FILING UNDER 35 U.S.C. § 371

| For: | PROCESS FOR PRO | DUCING VITAMIN B ₆ |) | | |
|-----------------|---------------------------|-------------------------------|---|-----------|------------------|
| Filed: | | Herewith |) | | |
| Internat | tional Filing Date: | 16 September 2003 |) | Art Unit: | Not yet assigned |
| Based (| on Int'l Application No.: | PCT/EP2003/010296 |) | Examiner: | Not yet assigned |
| Tatsuo | HOSHINO et al. | |) | | |
| <i>In re</i> Ap | oplication of: | |) | | |

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No.: ER 953583682 US

Date of Deposit: March 23, 2005

I hereby certify that the following:

- [x] Certificate of Express Mailing (1 page)
- [x] Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. § 371 (2 pp) in duplicate
- [x] Information Disclosure Statement with PTO 1449 (5 pp, incl. duplicate page 3) and copies of documents
- [x] Preliminary Amendment (5 pp, incl. duplicate page 1) with Exhibit A and B
 Exhibit A: Sequence Listing (2 pp)
 Exhibit B: Computer-Readable Form of the Sequence Listing (1 diskette)
- [x] Check for \$1,000.00 (National Filing Fee)
- [x] Return postcard.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the Date of Deposit indicated above in an envelope addressed to Mail Stop PCT, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Marvin Chisholm

Signature of Person Making Deposit

BRYAN CAVE LLP 1290 Avenue of the Americas New York, New York 10104 (212) 541-2000